



# Multiple constraints cause positive and negative feedbacks limiting grassland soil CO<sub>2</sub> efflux under CO<sub>2</sub> enrichment

Philip A. Fay<sup>a,1</sup>, Dafeng Hui<sup>b</sup>, Robert B. Jackson<sup>c</sup>, Harold P. Collins<sup>a</sup>, Lara G. Reichmann<sup>d</sup>, Michael J. Aspinwall<sup>e</sup>, Virginia L. Jin<sup>f</sup>, Albina R. Khasanova<sup>d</sup>, Robert W. Heckman<sup>d</sup>, and H. Wayne Polley<sup>a</sup>

<sup>a</sup>United States Department of Agriculture, Agricultural Research Service (USDA-ARS) Grassland, Soil, and Water Research Lab, Temple, TX 76502; <sup>b</sup>Department of Biological Sciences, Tennessee State University, Nashville, TN 37209; <sup>c</sup>Department of Earth System Science, Stanford University, Stanford, CA 94305; <sup>d</sup>Department of Integrative Biology, The University of Texas at Austin, Austin, TX 78712; <sup>e</sup>School of Forestry and Wildlife Sciences, Auburn University, Auburn, AL 36849; and <sup>f</sup>USDA-ARS Agroecosystem Management Research Unit, University of Nebraska, Lincoln, NE 68583

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Terrestrial ecosystems are increasingly enriched with resources such as atmospheric CO<sub>2</sub> that limit ecosystem processes. The consequences for ecosystem carbon cycling depend on the feedbacks from other limiting resources and plant community change, which remain poorly understood for soil CO<sub>2</sub> efflux, J<sub>CO<sub>2</sub></sub>, a primary carbon flux from the biosphere to the atmosphere. We applied a unique CO<sub>2</sub> enrichment gradient (250 to 500 μL L<sup>-1</sup>) for eight years to grassland plant communities on soils from different landscape positions. We identified the trajectory of J<sub>CO<sub>2</sub></sub> responses and feedbacks from other resources, plant diversity [effective species richness, exp(H)], and community change (plant species turnover). We found linear increases in J<sub>CO<sub>2</sub></sub> on an alluvial sandy loam and a lowland clay soil, and an asymptotic increase on an upland silty clay soil. Structural equation modeling identified CO<sub>2</sub> as the dominant limitation on J<sub>CO<sub>2</sub></sub> on the clay soil. In contrast with theory predicting limitation from a single limiting factor, the linear J<sub>CO<sub>2</sub></sub> response on the sandy loam was reinforced by positive feedbacks from aboveground net primary productivity and exp(H), while the asymptotic J<sub>CO<sub>2</sub></sub> response on the silty clay arose from a net negative feedback among exp(H), species turnover, and soil water potential. These findings support a multiple resource limitation view of the effects of global change drivers on grassland ecosystem carbon cycling and highlight a crucial role for positive or negative feedbacks between limiting resources and plant community structure. Incorporating these feedbacks will improve models of terrestrial carbon sequestration and ecosystem services.

tallgrass prairie | soil respiration | productivity | biodiversity | CO<sub>2</sub> enrichment

Terrestrial ecosystems are increasingly enriched with resources that limit ecosystem function and carbon cycling, such as atmospheric carbon dioxide (CO<sub>2</sub>) from fossil fuel combustion and land use conversion (1, 2). Soil CO<sub>2</sub> efflux (J<sub>CO<sub>2</sub></sub>), the diffusion of CO<sub>2</sub> from soil to the atmosphere, is a large and increasing feedback on the atmospheric carbon balance (3–5) closely linked to primary productivity and expected to increase with CO<sub>2</sub> enrichment (6). The trajectory of the CO<sub>2</sub> response of J<sub>CO<sub>2</sub></sub> remains poorly understood but is crucial to ecosystem carbon cycling in a changing climate. CO<sub>2</sub> meets the classic operational definition of a limiting resource (7): experimental enrichment with CO<sub>2</sub> often increases rates of ecosystem processes involved in carbon cycling, including J<sub>CO<sub>2</sub></sub> (6). Theory suggests a linear increase in J<sub>CO<sub>2</sub></sub> with CO<sub>2</sub> enrichment if other constraints remain constant. In contrast, diminishing increases in J<sub>CO<sub>2</sub></sub> with CO<sub>2</sub> enrichment, yielding an asymptotic J<sub>CO<sub>2</sub></sub> response, may occur if the next most limiting factor constrains the CO<sub>2</sub> effect by imposing a negative feedback on the J<sub>CO<sub>2</sub></sub> increase (8–10). However, few long-term studies implement enough CO<sub>2</sub> levels to resolve the shape of the J<sub>CO<sub>2</sub></sub> response.

The factors that may impose a negative feedback on the response of J<sub>CO<sub>2</sub></sub> to CO<sub>2</sub> enrichment fall into two classes. First, the CO<sub>2</sub> response of J<sub>CO<sub>2</sub></sub> may be constrained by other resources: water, mineral nutrients, or light. For example, CO<sub>2</sub> effects on J<sub>CO<sub>2</sub></sub> may diminish if plant productivity becomes limited by water or nutrients (11, 12) or may be reinforced if enrichment reduces water limitation by increasing soil moisture, resulting in higher aboveground net primary productivity (ANPP), vegetative cover, and light interception (13, 14). Second, changes in resource availability can drive changes in plant community composition (15). Species turnover is a broad descriptor of compositional change encompassing changes in species richness due to immigration and extinction and reordering of species abundances within a community. Many studies link turnover or its elements to ANPP (16–20), and turnover commonly occurs when resources are added, especially in communities limited by multiple nutrients (e.g., ref. 21). Productivity, resources, and community composition affect each other through feedback mechanisms (22, 23). Multiple constraints and feedbacks on the CO<sub>2</sub> response of J<sub>CO<sub>2</sub></sub> have received little attention (24, 25) but are crucial to forecast the consequences for ecosystem carbon cycling and related ecosystem services.

## Significance

Understanding ecosystem carbon-cycling responses to atmospheric CO<sub>2</sub> enrichment is critical to preserve biodiversity and maintain vital ecosystem services in grasslands impacted by global change. We conducted an 8-y experiment enriching CO<sub>2</sub> concentrations from preindustrial to midtwentieth-century levels on grassland plant communities on upland, lowland, and alluvial soils. CO<sub>2</sub> enrichment increased the CO<sub>2</sub> efflux from soils to atmosphere in amounts depending on whether the dominant limitation was CO<sub>2</sub> or feedbacks (net positive or negative) from soil moisture and plant species turnover. These findings highlight how multiple concurrent limitations, not single limitations in sequence, regulate the impacts of global change drivers in diverse grasslands. Incorporating multiple limitations will improve forecasts of terrestrial carbon sequestration and ecosystem services.

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<sup>1</sup>To whom correspondence may be addressed. Email: philip.fay@usda.gov.

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Feedbacks on the  $J_{CO_2}$  response to  $CO_2$  are likely to vary with edaphic factors that influence resource availability, productivity, plant community structure, and decomposition. Soils along catenas share the same climate but vary in texture, water holding capacity, organic matter content, ANPP, and plant community structure (26, 27); thus, they may vary in both autotrophic and heterotrophic contributions to  $J_{CO_2}$ . For example, coarse-textured soils have lower nutrient and water holding capacity (28), less soil organic matter (27, 29), and larger pore spaces permitting easier diffusion of gases (30). Fine-textured soils tend to have higher labile C pools and faster rates of root growth and root litter decomposition (31, 32). Therefore, studying soils of varying properties from different positions in landscapes is necessary to delineate variation in the constraints and feedbacks on the  $J_{CO_2}$  response to  $CO_2$  enrichment and to predict ecosystem responses across landscapes, where the aggregate response across multiple soil types may differ from that of any one soil type.

Here, we examined the trajectory of the  $J_{CO_2}$  response to  $CO_2$  using a unique continuous  $CO_2$  enrichment gradient with  $CO_2$  levels spanning preindustrial ( $250 \mu L L^{-1}$ ) to late twenty-first century levels ( $500 \mu L L^{-1}$ ). Specifically, we asked the following questions. 1) What is the trajectory of  $J_{CO_2}$  in response to  $CO_2$  enrichment, and does it correspond to that of ANPP? 2) Is the  $J_{CO_2}$  trajectory mediated by  $CO_2$ -related changes in other resources or the plant community? 3) Does the  $J_{CO_2}$  trajectory and its mediation differ among soils of contrasting properties representing differing landscape positions? We addressed these questions in grassland plant communities established on soil series from upland, lowland, and alluvial landscape positions that differed in texture, water holding capacity, and nitrogen mineralization rates among other properties (Table 1). These soils are from the orders Alfisols, Mollisols, and Vertisols, which are dominant soils in grassland biomes across large areas of North America and Eurasia (28). The Mollisol we studied is typical of soils common in arid and semiarid biomes (33).

Plant communities were experimentally established on these soils and maintained on a  $CO_2$  concentration gradient spanning preindustrial to anticipated midtwenty-first century values (34, 35). Gradient designs are the preferred approach for identifying trajectories in responses to continuous environmental drivers (36). Previous studies revealed that a decade of  $CO_2$  enrichment resulted in soil-specific increases in ANPP and  $C_4$  grass dominance (37), accompanied by increases in soil moisture, fast-cycling soil organic carbon pools (38), decomposition rates,

microbial biomass, fungal richness and abundance, and microbial enzyme activities (39, 40). Based on these findings, we hypothesize increased  $J_{CO_2}$  in response to  $CO_2$  enrichment and stronger increases in  $J_{CO_2}$  when  $CO_2$  enrichment is reinforced by positive feedbacks from other limiting resources, increases in ANPP, or species turnover toward more productive dominant grass species (41–44). However, gains in  $J_{CO_2}$  may also be offset by negative feedbacks from decreased species richness (45–47).

## Results

**$J_{CO_2}$ .** As hypothesized,  $CO_2$  enrichment resulted in  $J_{CO_2}$  increases differing in both shape and magnitude among the three soil series (soil  $\times CO_2$   $P = 0.01$ ) (Table 2).  $J_{CO_2}$  was a linear increasing function of  $CO_2$  on the alluvial sandy loam and lowland clay soils (Fig. 1A). However,  $J_{CO_2}$  was an asymptotic function of  $CO_2$  on the upland silty clay soil ( $P = 0.0013$ ). As a result, mean  $J_{CO_2}$  was lower on the silty clay compared with the other soils ( $P = 0.0009$ ) (Fig. 1A, *Inset* and Table 2).  $J_{CO_2}$  was unrelated to  $CO_2$  ( $P = 0.73$ ) (Table 2) for the soils combined. The  $J_{CO_2}$  response to  $CO_2$  was consistent among years (year effects  $P = 0.41$  to  $0.69$ ) (Table 2 and *SI Appendix*, Fig. S1). These results held whether the soil effect was modeled with soil texture as a covariate or with soil as a categorical variable, with the latter yielding better model fit (*SI Appendix*, Table S2).

**$CO_2$  Responses of ANPP, Resources, and Community Change.**  $CO_2$  enrichment caused a distinct set of linear responses in the hypothesized drivers of the  $J_{CO_2}$  response on each soil (soil  $\times CO_2$   $P < 0.02$ ) (Table 2). For the two soils with linear  $J_{CO_2}$ - $CO_2$  responses, the alluvial sandy loam and lowland clay, ANPP was high, and photosynthetic photon flux density (PPFD) at the soil surface was low (soil  $P < 0.04$ ) (Fig. 1A, *Inset* and D, *Inset* and Table 2). However, soil water potential ( $\Psi_{soil}$ ) was higher in the sandy loam than clay soil (soil  $P < 0.0001$ ) (Fig. 1C, *Inset* and Table 2). On the sandy loam,  $CO_2$  enrichment increased ANPP (Fig. 1B), modestly increased  $\Psi_{soil}$  (Fig. 1C), and increased species turnover (Fig. 2A) while decreasing effective species richness,  $\exp(H)$  (Fig. 2B). On the lowland clay,  $CO_2$  enrichment resulted in a weaker increase in ANPP and a stronger increase in  $\Psi_{soil}$  (Fig. 1B and C). Species turnover and  $\exp(H)$  were not correlated with  $CO_2$  on the clay soil (Fig. 2).

On the upland silty clay soil where the  $J_{CO_2}$ - $CO_2$  response was asymptotic, mean ANPP was the lowest of the three soils despite high mean  $\Psi_{soil}$  (Fig. 1B, *Inset* and C, *Inset*).  $CO_2$  enrichment caused increases in ANPP,  $\Psi_{soil}$ , and species turnover comparable

**Table 1. Classification and physical properties of the three soils in the LYCOG facility**

Soil property	Soil series		
	Austin	Bastil	Houston Black
Order	Mollisol	Alfisol	Vertisol
Texture class	Silty clay	Sandy loam	Clay
Sand, %	12	67	10
Silt, %	45	24	38
Clay, %	43	9	52
Organic carbon, %	1.4	0.4	2.0
Organic matter, %	2.1	3.2	3.5
Bulk density, $Mg m^{-3}$	1.24	1.48	1.21
Field capacity ( $\Theta_{33}$ ), $m^3 m^{-3}$	0.41	0.17	0.43
Permanent wilting point ( $\Theta_{1,500}$ ), $m^3 m^{-3}$	0.26	0.07	0.30
NRCS/National Map Unit symbol	AsC/2vtgk	BaA/2vtj2	HoB/2ssh0
Collection site			
Latitude	31.045297	31.245306	31.457284
Longitude	-97.349303	-97.467884	-96.877026

NRCS, Natural Resources Conservation Service.

**Table 2. Results of linear mixed models**

Effect	ln(J <sub>CO2</sub> )		ANPP		Ψ <sub>soil</sub>		PPFD		Exp(H)	
	F	P	F	P	F	P	F	P	F	P
Soil (S)	9.0 <sub>2,28</sub>	0.0010	6.1 <sub>2,28</sub>	0.0063	92.5 <sub>2,28</sub>	<0.0001	3.8 <sub>2,28</sub>	0.0337	26.2 <sub>2,28</sub>	<0.0001
CO <sub>2</sub> (C)	0.1 <sub>1,171</sub>	0.7280	90.6 <sub>1,172</sub>	<0.0001	47.1 <sub>1,172</sub>	<0.0001	21.7 <sub>1,152</sub>	<0.0001	4.9 <sub>1,172</sub>	0.0282
C × S	4.6 <sub>2,171</sub>	0.0113	4.0 <sub>2,172</sub>	0.0195	25.2 <sub>2,172</sub>	<0.0001	2.2 <sub>2,152</sub>	0.1150	27.7 <sub>2,172</sub>	<0.0001
Year (Y)	0.8 <sub>7,171</sub>	0.5479	2.3 <sub>7,172</sub>	0.0265	6.9 <sub>7,172</sub>	<0.0001	5.1 <sub>7,152</sub>	<0.0001	0.5 <sub>7,172</sub>	0.8665
S × Y	1.1 <sub>14,171</sub>	0.4054	0.9 <sub>14,172</sub>	0.5623	6.4 <sub>14,172</sub>	<0.0001	0.4 <sub>14,152</sub>	0.9772	0.5 <sub>14,172</sub>	0.9027
C × Y	0.9 <sub>7,171</sub>	0.5118	4.0 <sub>7,172</sub>	0.0005	3.4 <sub>7,172</sub>	0.0018	2.9 <sub>7,152</sub>	0.0067	0.5 <sub>7,172</sub>	0.8126
C × S × Y	0.8 <sub>14,171</sub>	0.6869	1.1 <sub>14,172</sub>	0.3519	3.6 <sub>14,172</sub>	<0.0001	0.4 <sub>14,152</sub>	0.9697	0.3 <sub>14,172</sub>	0.9866

Results of linear mixed models analysis of the effects of soil, CO<sub>2</sub> enrichment, year, and their interactions on J<sub>CO2</sub>, ANPP, Ψ<sub>soil</sub>, and exp(H) for the three soil series along the CO<sub>2</sub> gradient during 2006 to 2014. Subscripts of F statistics are degrees of freedom for numerator,denominator.

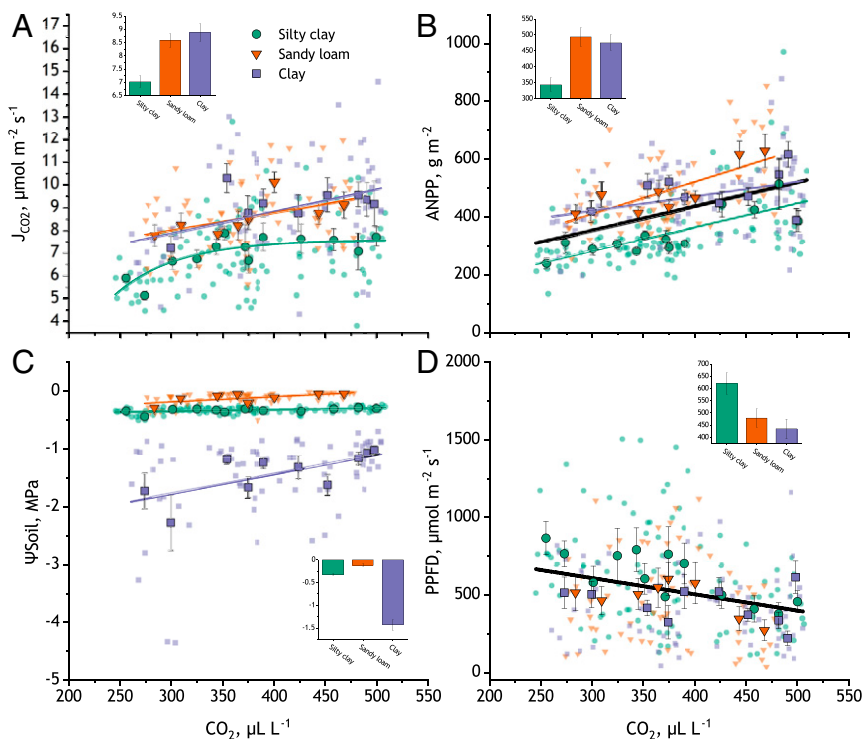
with those of the sandy loam (Figs. 1 B and C and 2A) but increased exp(H), the opposite response of the sandy loam (Fig. 2B).

For the three soils in aggregate, CO<sub>2</sub> increased ANPP, Ψ<sub>soil</sub>, and species turnover while decreasing PPFD (Fig. 1D). The mixed models analysis indicated a CO<sub>2</sub> response in exp(H) (P = 0.028) (Table 2), but the slope was small (0.0009) (SI Appendix, Table S1). Species turnover in response to CO<sub>2</sub> enrichment was largely explained (R<sup>2</sup> = 0.79, P < 0.0001) by the increase in abundance of *Sorghastrum nutans* relative to the abundance of *Bouteloua curtipendula* (Fig. 3A), but was not correlated with change in exp(H) (P > 0.74, Fig. 3B).

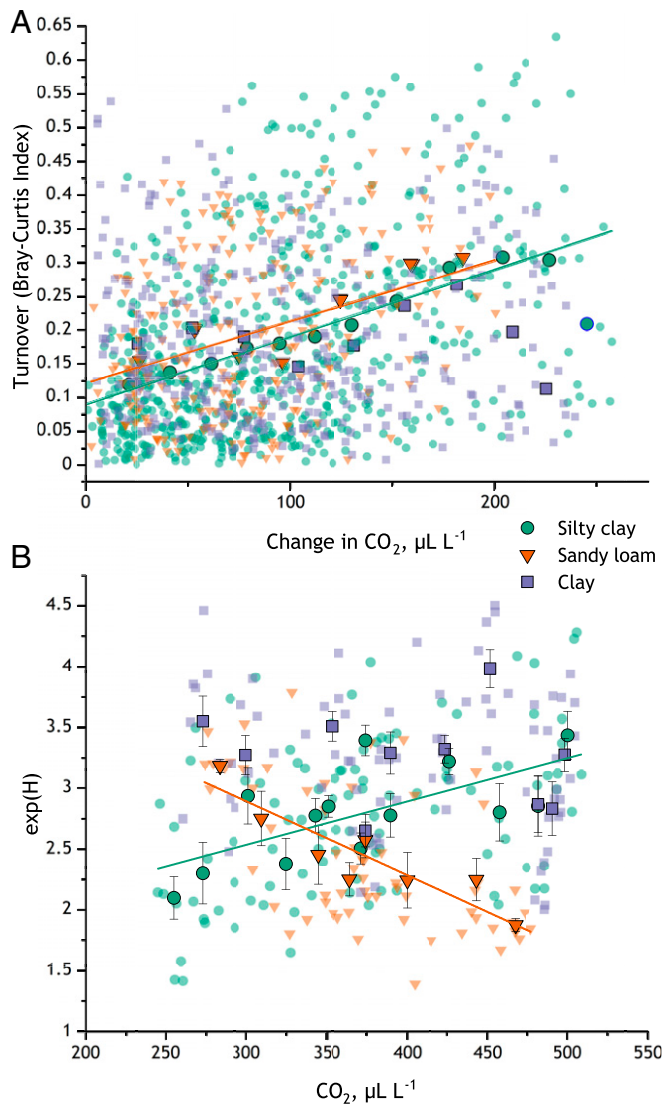
**Combined Effects.** Structural equation models resolved how the simultaneous CO<sub>2</sub> effects on ANPP, Ψ<sub>soil</sub>, turnover, and exp(H) combined on each soil to jointly predict the CO<sub>2</sub> responses of J<sub>CO2</sub>. Structural equation model fit was adequate on each soil

(P > 0.58) (Table 3). On the alluvial sandy loam and the lowland clay, the structural equation models resolved the CO<sub>2</sub> response of ANPP as the largest single predictor of the J<sub>CO2</sub>-CO<sub>2</sub> response (Fig. 4 and SI Appendix, Table S3), consistent with the shared linear CO<sub>2</sub> responses of J<sub>CO2</sub> and ANPP (Fig. 1 A and B). On the sandy loam, total effects of species turnover and exp(H) on J<sub>CO2</sub> were positive (Fig. 5). The species turnover effect was mediated by ANPP, reinforcing the ANPP-J<sub>CO2</sub> relationship (Fig. 4). The direct exp(H)-J<sub>CO2</sub> path (0.87) was nearly as large as the direct ANPP-J<sub>CO2</sub> path (0.90), but exp(H) concurrently caused a negative feedback on J<sub>CO2</sub> through a negative exp(H)-ANPP effect (-0.40) (Fig. 4). The structural equation model for the clay soil was notable for a lack of effects, leaving turnover mediated by ANPP as drivers of J<sub>CO2</sub> responses to CO<sub>2</sub>.

The silty clay structural equation model differed from the other structural equation models in several respects. Standardized total effects and individual path coefficients were generally



**Fig. 1.** (A) J<sub>CO2</sub>, (B) ANPP, (C) Ψ<sub>soil</sub>, and (D) PPFD in relation to atmospheric CO<sub>2</sub> concentration on silty clay, sandy loam, and clay soil series. Large symbols represent the mean ± SE across 8 y of CO<sub>2</sub> treatments. Small symbols represent values for individual years. Lines denote significant regression relationships for individual soils (color) or for all soils combined (black). Insets depict means ±1 SE across years and CO<sub>2</sub> levels. Table 2 shows linear mixed model statistics, and SI Appendix, Table S1 shows regression parameters and statistics.

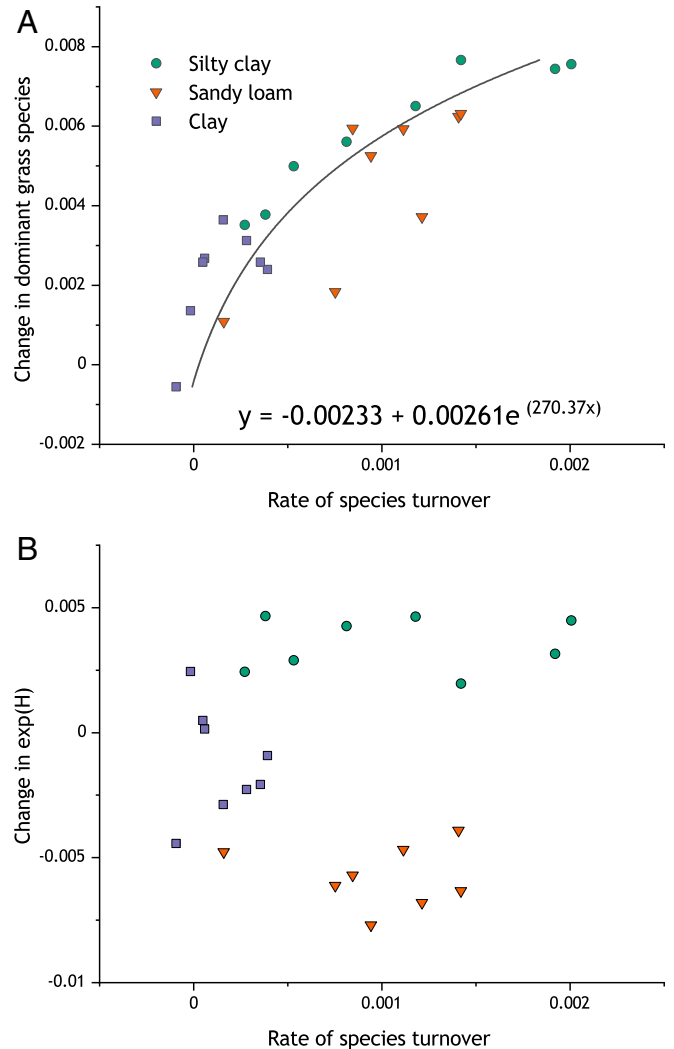


**Fig. 2.** Community diversity and composition as functions of atmospheric CO<sub>2</sub> concentration on silty clay, sandy loam, and clay soils. (A) Plant species turnover (Bray-Curtis index) per unit change in CO<sub>2</sub> in relation to the difference in CO<sub>2</sub> between all pairwise combinations of monoliths in each soil series. Linear mixed models soil effect  $P = 0.0012$ . (B)  $\exp(H)$  in relation to CO<sub>2</sub> concentration. Large symbols with error bars represent means  $\pm 1$  SE over 8 y of CO<sub>2</sub> treatments. Small symbols represent data for individual years. *SI Appendix, Table S1* shows regression parameters and statistics.

larger (Fig. 5 and *SI Appendix, Table S3*), although no direct ANPP– $J_{CO_2}$  path was resolved (Fig. 4). Positive turnover– $J_{CO_2}$  and  $\Psi_{soil}$ – $J_{CO_2}$  paths suggest that species turnover and soil moisture reinforced CO<sub>2</sub> effects on  $J_{CO_2}$ . However, a negative  $\exp(H)$ – $J_{CO_2}$  path suggests a concurrent negative feedback acting directly on the CO<sub>2</sub> response of  $J_{CO_2}$ , not indirectly as for the sandy loam.

### Discussion

The effects of atmospheric CO<sub>2</sub> enrichment on terrestrial carbon cycles depend on the concurrent effects of CO<sub>2</sub> on ecosystem function, the availability of other limiting resources, and changes in plant community diversity and composition. Our findings show how CO<sub>2</sub> effects on  $J_{CO_2}$  depend on concurrent responses in multiple limiting factors that combined to yield either positive or negative feedbacks on  $J_{CO_2}$ . This fundamentally challenges the



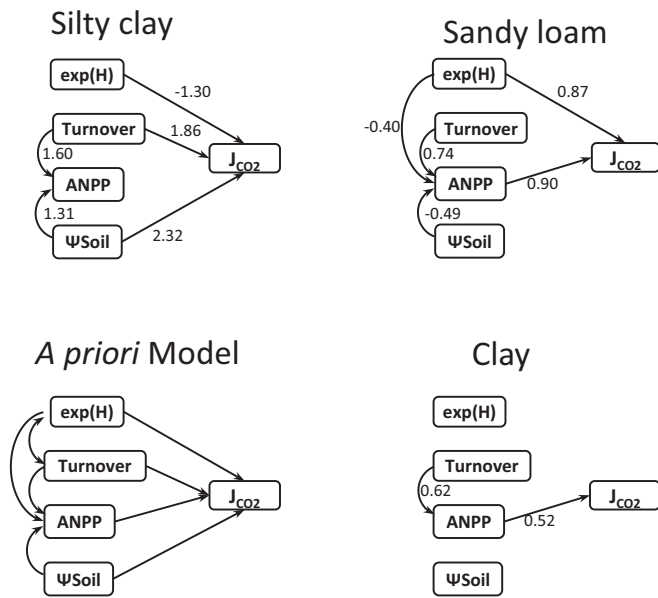
**Fig. 3.** Rates of change in response to CO<sub>2</sub> enrichment in (A) dominant grass species and (B)  $\exp(H)$  as a function of the rate of plant species turnover in response to CO<sub>2</sub> enrichment. Each datum represents the slope of the CO<sub>2</sub> relationship for a single year.

current paradigm that ecosystem functions in grassland plant communities are constrained by sequential limitation from single resources (8–10). Importantly, the findings demonstrate how CO<sub>2</sub>-mediated feedbacks on  $J_{CO_2}$  varied in magnitude and direction among soils representing upland, lowland, and alluvial landscape positions and soil orders commonly supporting grassland biomes.  $J_{CO_2}$  is the main avenue of C loss to the atmosphere in this temperate perennial grassland. Understanding the controls on the response of  $J_{CO_2}$  to CO<sub>2</sub> enrichment is crucial to more

**Table 3.** Structural equation model (Fig. 4) fit statistics for each soil series

Soil series	Model fit		
	$\chi^2$ ( $P$ value)	RMSEA	CFI
Silty clay	$P > 0.05$	$P < 0.06$	$P > 0.95$
Silty clay	0.2177 (0.6408)	0.0000	1.0000
Sandy loam	1.0937 (0.5788)	0.0000	1.0000
Clay	0.1751 (0.9162)	0.0000	1.0000

Bentler CFI (1).



**Fig. 4.** Structural equation models relating the CO<sub>2</sub> responses of 0- to 40-cm soil water potential ( $\Psi_{\text{soil}}$ ), aboveground net primary productivity (ANPP), turnover in community composition, and effective species richness,  $\exp(H)$  to the CO<sub>2</sub> response of soil CO<sub>2</sub> efflux,  $J_{\text{CO}_2}$ . The a priori model was fit separately to the individual soil series. Depicted paths indicate significant direct effects. Nonsignificant paths are omitted in the fitted models. See Table 3 for model fit statistics, Fig. 5 for visualization of total effects, and *SI Appendix, Table S3* for partitioning of direct and indirect effects.

accurately forecast changes in critical pools and fluxes of C in terrestrial carbon cycling, a core process connecting ecosystem productivity, biological diversity, and the provision of services.

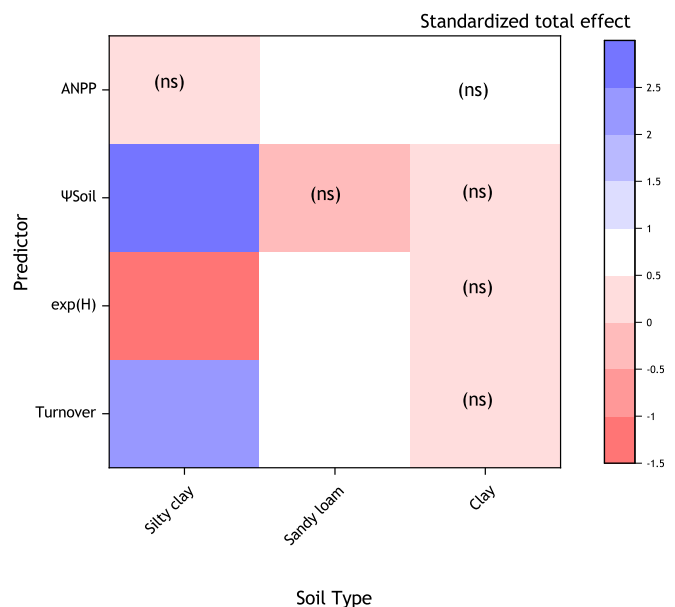
Resource limitation theory (8–10) predicts a linear increase in ecosystem processes when a limiting resource is added and an asymptotic response when a second constraint adds a negative feedback limiting further response. However, as demonstrated here, this view is not well suited to ecosystems composed of diverse plant communities (7). The  $J_{\text{CO}_2}$  responses to CO<sub>2</sub> enrichment nominally matched expectations from resource limitation theory for two of the three soils.  $J_{\text{CO}_2}$  increased linearly with CO<sub>2</sub> on the lowland clay soil. Indeed, we found no evidence that  $\Psi_{\text{soil}}$ ,  $\exp(H)$ , or species turnover provided feedbacks on the  $J_{\text{CO}_2}$ –CO<sub>2</sub> relationship on the clay soil, as expected if CO<sub>2</sub> was the dominant limitation on  $J_{\text{CO}_2}$ . On the upland silty clay soil, the asymptotic  $J_{\text{CO}_2}$  response to CO<sub>2</sub> was also nominally consistent with a negative feedback from a single second limiting factor. Instead, the asymptotic  $J_{\text{CO}_2}$  response arose from concurrent negative feedback from  $\exp(H)$  and positive feedbacks from species turnover and  $\Psi_{\text{soil}}$ , not from changes in the next single limiting factor. Unexpectedly, the linear  $J_{\text{CO}_2}$ –CO<sub>2</sub> response on the alluvial sandy loam soil also supports a multiple concurrent constraints paradigm because the linear  $J_{\text{CO}_2}$ –CO<sub>2</sub> response depended on a positive feedback from increased species turnover as *S. nutans* became dominant and species richness declined. Together, these findings experimentally show that responses to added limiting resources depend on how multiple constraints change in concert to create positive or negative feedbacks, casting doubt on a fundamental assumption of many resource manipulation experiments.

Our results corroborate previous studies indicating that CO<sub>2</sub> enrichment increased  $J_{\text{CO}_2}$  by 20 to 30% over ambient CO<sub>2</sub> levels (6). We found smaller increases from ambient to enriched, with up to 15% higher  $J_{\text{CO}_2}$  depending on the soil series, but comparable increases in  $J_{\text{CO}_2}$  over our full range of CO<sub>2</sub>

concentrations. Inclusion of subsambient CO<sub>2</sub> concentrations was crucial for resolving the asymptotic  $J_{\text{CO}_2}$  response to CO<sub>2</sub> enrichment on the silty clay soil. The decreasing gains in  $J_{\text{CO}_2}$  on the silty clay imply that past increases in CO<sub>2</sub> had larger effects on  $J_{\text{CO}_2}$  than will near-future increases through 500  $\mu\text{L L}^{-1}$ , while the sandy loam and clay soils are more likely to experience continued increases in  $J_{\text{CO}_2}$ . The contribution of  $\exp(H)$  to the  $J_{\text{CO}_2}$  response in the silty clay reinforces findings of Burri et al. (48), showing that increased species richness stabilized the effect of drought on soil respiration across 19 European grasslands. Similarly, functional composition of plant communities predicted soil respiration responses to warming in a North American tall-grass prairie (49). Community structure thus provides general value in understanding ecosystem responses to global change drivers particularly when, as here, community change was marked by shifts in dominant species that differed in functional traits related to the rate and efficiency of carbon cycling (15, 24, 50).

Our gradient approach identified variation in the trajectory of the  $J_{\text{CO}_2}$  responses not discernable from experiments with only elevated and ambient CO<sub>2</sub> concentrations. For example, an elevated/ambient experiment would not have identified the CO<sub>2</sub> concentration at which  $J_{\text{CO}_2}$  began to diverge among soils. The shape of the response of  $J_{\text{CO}_2}$  to CO<sub>2</sub> enrichment has implications for carbon cycling. If the trajectory of  $J_{\text{CO}_2}$  matches that of ANPP, the ratio of carbon gain to carbon loss may remain constant. In contrast, if the ratio of ANPP to  $J_{\text{CO}_2}$  increases as CO<sub>2</sub> concentration rises, as found on the silty clay soil, we might expect a greater fraction of primary productivity to accumulate in the system despite it being the least productive of the three soils. Moreover, the differing  $J_{\text{CO}_2}$  responses to CO<sub>2</sub> enrichment on these soils are consistent with analogies from economic theory applied to ecosystems in suggesting differing optimization solutions to multiple limiting constraints (8).

The responses of  $J_{\text{CO}_2}$  to CO<sub>2</sub> enrichment are generally consistent with the known mechanistic linkages of  $J_{\text{CO}_2}$  to ANPP and soil carbon dynamics. CO<sub>2</sub> enrichment increases allocation to autotrophic and heterotrophic sources of respired CO<sub>2</sub>,



**Fig. 5.** Total effects of predictors of soil CO<sub>2</sub> efflux on each soil series from structural equation models (Fig. 4). See *SI Appendix, Table S3* for partitioning of total effects into direct and indirect components. ns, not statistically significant.

including increased litterfall, fine root production, and root exudation (51), implying increased root mass, soil organic matter, and microbial biomass. Furthermore, the greater increase in ANPP than in  $J_{CO_2}$  with  $CO_2$  enrichment implies increasing net carbon uptake in general for these soils. The weaker  $J_{CO_2}$  response combined with lower ANPP on the silty clay is consistent with previous studies showing decreased old soil organic matter pools at elevated  $CO_2$  as labile pools were exhausted and carbon cycling became more tied to recent carbon inputs (52). The contributions of autotrophic and heterotrophic respiration sources and old and new carbon pools likely shifted on each soil (48, 49, 53–56). For example, on the clay soil  $CO_2$  enrichment resulted in a weaker increase in ANPP, with stronger increases in microbial biomass, labile C fraction, and diversity and relative abundance of saprophytic fungi (38, 40). In contrast, on the sandy loam stronger increases in ANPP combined with weaker increases in soil carbon (38, 40). Our experiment included only three soils, limiting our ability to attribute responses to specific quantitative properties, such as texture, or water holding capacity. However, these soils represent a cross-section of landscape positions and dominant soil orders in grassland biomes and thus, highlight a key source of spatial variation in the controls on grassland  $J_{CO_2}$ .

These results reveal how soil water availability, a key limiting resource in most grasslands, and plant community change can combine in different ways to shape the response of  $J_{CO_2}$  to rising atmospheric  $CO_2$  concentration. However, we cannot rule out potential contributions from other factors. Although there was little relationship of resin-available N to ANPP in this experiment (57), other evidence suggests possible N limitation of ANPP. For example, Kelley et al. (39) reported increased activity of nitrogen-cycling enzymes under  $CO_2$  enrichment on the clay soil, and Jin et al. (58) reported decreased C:N of *Bouteloua curtipendula* litter but concluded that soil moisture was more important for N mineralization rates.  $CO_2$  enrichment also increased alkaline phosphatase activity and abundance of Glomeromycota fungi on the sandy loam soil (38, 39), where Polley et al. (59) reported decreased tiller P in the dominant grasses, suggesting possible P limitation of ANPP. However, limitations on  $J_{CO_2}$  from N and P or other macro- or micronutrients remain unresolved. Light availability could limit  $J_{CO_2}$  by limiting photosynthetic carbon assimilation; however, it likely did not contribute to the asymptotic  $J_{CO_2}$  response to  $CO_2$  on the silty clay because similar light levels yielded high rates of  $J_{CO_2}$  on the clay and sandy loam soils.

This experiment focused on a  $C_4$ -dominated community, but our key finding—that ecosystem response to  $CO_2$  depends on the net outcome of responses in multiple constraints—is not an artifact of  $C_4$  dominance. For example, we argued (44) that changes in community composition predicted at least 80% of the productivity response in both a  $C_3$ -dominated grassland and a  $C_4$ -dominated grassland. Our experimental system contained summer-active  $C_3$  species, notably *Solidago canadensis*, *Salvia azurea*, and the legume *Desmanthus illinoensis*, yet they did not dominate at high  $CO_2$  levels, likely because our  $C_4$  grasses were generally favored by our hot summer climate (60). Our experimental design excluded species immigration as a source of species turnover. This was a necessary limitation because the experimental site was surrounded by urban and agricultural landscapes, not tallgrass prairie. Also, the linear, interconnected chamber design means propagules entering the system would be highly nonrandomly distributed along the gradient. Although immigration may have affected levels of diversity and species turnover, we consider it unlikely that immigration would have lessened the importance of diversity and species turnover as regulators of the  $J_{CO_2}$ - $CO_2$  response.

## Conclusions

The core finding of this study is that the effects of  $CO_2$  enrichment on  $J_{CO_2}$  depend on how constraints from other limiting resources or plant community change combine to impose positive or negative feedbacks on the  $CO_2$  enrichment response. Our findings emphasize that effects of global change drivers on ecosystem processes may be constrained by multiple, potentially interacting feedbacks. This finding is of practical relevance, especially for temperate grasslands, because it highlights the degree to which these constraints may vary across landscapes to define the likely trajectories of past and future soil C losses related to atmospheric  $CO_2$  enrichment. Less productive soils may contribute more to carbon sequestration than their productivity response might suggest. Correctly accounting for spatial variation in the mechanisms controlling this flux, particularly biodiversity change, is important for refining terrestrial carbon cycle models.

## Materials and Methods

### Study Site and Experimental Design.

**Site description.** The study was conducted in the Lysimeter  $CO_2$  Gradient (LYCOG) facility, located in Temple, TX (31°05'N, 97°20'W) in the southern US Central Plains. Mean annual precipitation is 917 mm (1981 to 2010), with growing season wet periods in May–June and September–October and a pronounced July–August dry period. Temperatures range from a July–August mean maximum of 35 °C to a December mean minimum of 2.9 °C. The mean frost-free period is ~250 d, from mid-March to late November (61).

**$CO_2$  chambers.** The  $CO_2$  gradient experiment was conducted in two outdoor linear chambers. The design and operation of these chambers are detailed elsewhere (34, 35, 62). Each chamber consisted of ten 5-m-long  $\times$  1.2-m-wide sections. Each 5-m section was enclosed with clear polyethylene (0.006-inch/0.15-mm thickness) (61). This film transmits >90% of incident light with minimal effects on spectral quality and is similar to polyethylene films used in other global change experiments [e.g., Dermody et al. (63)].

The sections contained intact soil monoliths (1-m<sup>2</sup> area  $\times$  1.5-m deep) collected from three soil series common to the Texas Blackland Prairie Region: a silty clay Mollisol (fine-silty, carbonatic, thermic Udorthentic Haplustolls, Austin series;  $n = 32$ ), a sandy loam Alfisol (fine-loamy, siliceous, active, thermic Udic Paleustalfs, Bastil series;  $n = 16$ ), and a clay Vertisol (very-fine, smectitic, thermic Udic Haplusterts, Houston Black series;  $n = 32$ ) (61). Soils series names and soil texture classifications were identified for the monolith collection locations using soil series maps in the United States Department of Agriculture - Natural Resources Conservation Service Web Soil Survey (<https://websoilsurvey.sc.egov.usda.gov/App/HomePage.htm>) (Table 1). Pretreatment texture and organic carbon for the top 50 cm of the profile were measured as described in ref. 35. Organic matter, bulk density, field capacity, and permanent wilting point were estimated from pedo-transfer functions (64). The silty clay and clay soils have higher inorganic carbon content than the sandy loam (58), but this potential source of  $CO_2$  efflux was not considered here (65). Monoliths were excavated and encased in steel boxes in 2002, and they were used for the duration of the experiment. Each 5-m section contained two of the three soil series in duplicate, in random order within the section. The sandy loam was included in alternate sections.

**Experimental communities.** Experimental communities were planted in the monoliths in spring 2003. Seedlings of four  $C_4$  grasses, two  $C_3$  forbs, and one herbaceous legume were planted in a Latin Square design (61). All were perennials and native to Texas Blackland Prairie, the original natural vegetation at this location. The  $C_4$  grasses were *S. nutans* (L.) Nash, *B. curtipendula* (Michx.) Torr., *Schizachyrium scoparium* (Michx.) Nash, and *Tridens albens* (Vasey) Wootton & Standl. The  $C_3$  forbs were *S. canadensis* L., *S. azurea* Michx. ex Lam., and the legume *D. illinoensis* (Michx.) MacMill. ex B. L. Rob. & Fernald. All are widespread and common in the Central Plains grasslands of North America. *Sorghastrum*, *Solidago*, and *Tridens* are typically found in more mesic locations with deeper soils, while *Bouteloua* and *Schizachyrium* more often occupy drier locations. In 2007, 20 monoliths (8 silty clay, 12 clay) were replanted to switchgrass (*Panicum virgatum*) to improve  $CO_2$  control by increasing photosynthetic sink strength (66). This left 60 monoliths (silty clay  $n = 24$ , sandy loam  $n = 16$ , and heavy clay  $n = 20$ ) in the grassland experiment.

Plant species composition was maintained during the experiment by removing other species as they appeared by hand weeding or selective

glyphosate application. Thus, community change reflected changes in abundance of members of the planted community without immigration from the regional species pool. We judged the reduced realism from constraining immigration preferable to several problems likely to arise if new species were allowed. Immigrant species would likely be unrepresentative of native tall-grass prairie because the experimental site is in a highly impacted mixed urban/agroecosystem landscape dominated by exotic and invasive species. Propagules entering during the growing season when the chambers are closed would be highly nonrandomly distributed along the gradient because they would enter through the air intake and likely fall out in the first chambers, confounding the CO<sub>2</sub> enrichment effect.

When we applied glyphosate (SI Appendix, Fig. S2) to remove new species, we minimized the amount of glyphosate used by carefully painting it on individuals to be removed. We took care to avoid touching neighboring species or drip glyphosate solution on the soil.

**CO<sub>2</sub> treatments.** A daytime linear CO<sub>2</sub> gradient of 500 to 250 μL L<sup>-1</sup> was maintained during April to October of each growing season from 2006 to 2014 (61). The gradient was initiated by introducing air enriched to 500 μL L<sup>-1</sup> CO<sub>2</sub> into the first section of the chamber. Fans advected this air through successive sections, and photosynthesis by the enclosed vegetation progressively depleted the air of CO<sub>2</sub>. The airflow rate was controlled so that air exited the last section of the first chamber at 380 μL L<sup>-1</sup> CO<sub>2</sub>. Similarly, ambient air was introduced into the first section of the second chamber and exited at 250 μL L<sup>-1</sup>. Air temperature in the chambers was controlled to match outside ambient temperature. Each monolith was watered twice a week in events summing to the average growing season rainfall amount for this locale when the treatments were initiated (1971 to 2000: 560 mm). This amount is near the current (1981 to 2010) value of 578 mm. The seasonal pattern of irrigation was varied among years to introduce realistic variation in spring and summer rainfall, by shifting between wetter springs/drier summers, the typical ambient pattern, and the opposite on 1- or 2-y cycles. The plant communities were exposed to ambient conditions during winter (approximately November through April).

#### Field Measurements.

**Soil CO<sub>2</sub> efflux.** J<sub>CO<sub>2</sub></sub> was measured monthly in the growing season (May through October) during years 2007 through 2014 of CO<sub>2</sub> manipulation. J<sub>CO<sub>2</sub></sub> was measured with an infrared gas analyzer fitted with a soil chamber (LI-6400 photosynthesis system and LI-6400-09 soil chamber; LI-COR Biosciences). To perform a measurement, the chamber was placed on the sample point, and after a stable rate of [CO<sub>2</sub>] increase was established (usually within ~30 s), the chamber CO<sub>2</sub> concentration was logged as it increased over a span of 10 to 20 ppm centered on the mean CO<sub>2</sub> concentration for that location along the CO<sub>2</sub> gradient. The logged increase measurement typically lasted 20 to 30 s. J<sub>CO<sub>2</sub></sub> was measured at two sample points in each monolith defined by poly vinyl chloride collars placed 4 cm into the soil at the start of each growing season to reduce potential CO<sub>2</sub> pulses arising from soil disturbance during placement of the chamber. Plants emerging within the collars were clipped prior to each measurement. Soil temperature in the top 10 cm of soil was measured concurrently with handheld probes. J<sub>CO<sub>2</sub></sub> measurements from June to August of each year were retained for this analysis. Peak rates of J<sub>CO<sub>2</sub></sub> consistently occurred during these months.

**Aboveground biomass.** All aboveground biomass was clipped by species at 5-cm height each November after plant senescence, dried for 72 h at 60 °C, and weighed.

**Soil moisture.** Volumetric soil water content at 0- to 20-cm and 20- to 40-cm depths was measured weekly each growing season, except biweekly in 2006, with a calibrated neutron attenuation probe (503DR Hydroprobe; CPN International) at a permanent access tube in each monolith. The two depths were then averaged to estimate the 0- to 40-cm soil water content, which was converted to Ψ<sub>soil</sub> using previously established soil water release curves (57).

**Canopy light at ground level.** PPFD at 10 cm above the soil surface in the plant canopy of each monolith was measured with the chambers opened once each July using a ceptometer (SunScan; Delta-T Devices Ltd.). PPFD was measured across both diagonals of each monolith. Boundary conditions for measurements were solar elevation angle greater than 30° and above-canopy PPFD greater than 600 μmol m<sup>-2</sup> s<sup>-1</sup>. For this analysis, we further excluded readings with ambient light <1,000 μmol m<sup>-2</sup> s<sup>-1</sup>.

**Plant diversity and composition indices.** We measured three aspects of CO<sub>2</sub> effects on the experimental plant communities, all derived from the aboveground biomass sampling in each monolith. 1) exp(H), which is the exponential of the Shannon diversity index (H) (Eq. 1), where p<sub>i</sub> represents the relative abundance of each species calculated from its fraction of total

ANPP. exp(H) is interpreted as the number of equally abundant species required to give the observed H (67):

$$\exp(H) = \exp\left(-\sum p_i \times \log(p_i)\right). \quad [1]$$

2) The dominant grass species *Sorghastrum* and *Bouteloua* trade off in dominance along the CO<sub>2</sub> gradient, and *Sorghastrum* abundance is a key predictor of ANPP gains with CO<sub>2</sub> enrichment (41, 42). This balance was quantified from the biomass of each species (Eq. 2):

$$\text{Dominantgrass} = \frac{(\text{Mass}(\text{Sorghastrum}) - \text{Mass}(\text{Bouteloua}))}{(\text{Mass}(\text{Sorghastrum}) + \text{Mass}(\text{Bouteloua}))} \quad [2]$$

3) Community composition change (“turnover”) along the gradient is quantified by the Bray–Curtis dissimilarity (d<sub>BC</sub>) metric (68), with X<sub>ij</sub> and X<sub>ik</sub> denoting the biomass of species i in monolith j and in monolith k (Eq. 3):

$$d_{BC} = \frac{\sum |X_{ij} - X_{ik}|}{\sum (X_{ij} + X_{ik})} \quad [3]$$

We estimated the rate of turnover as a function of CO<sub>2</sub> enrichment from “distance-decay” curves (69) constructed for each soil series in each year of the study. Distance-decay curves relate d<sub>BC</sub> for all pairwise combinations of monoliths to the corresponding difference in CO<sub>2</sub> concentration (δ<sub>CO<sub>2</sub></sub>). Turnover was represented by the slope of a linear regression fit to each decay curve.

#### Data Processing and Analysis.

**Data preparation.** Individual J<sub>CO<sub>2</sub></sub> and soil water content measurements more extreme than 1.5× the interquartile range were considered outliers. This removed ~3% of ~7,600 J<sub>CO<sub>2</sub></sub> measurements and of ~8,500 soil water content measurements. Then, for J<sub>CO<sub>2</sub></sub>, Ψ<sub>soil</sub>, PPFD, and exp(H), duplicate spatial or multiple temporal measurements were reduced in three steps: first, by averaging duplicate spatial measures within monoliths; second, by averaging repeated measurements within growing seasons, yielding a single value per monolith; and third, by averaging across the duplicate monoliths of each soil series within each 5-m section, yielding a single yearly value per soil in each section.

**Statistical methods.** We applied linear mixed models in SAS/STAT 13.1 (Proc MIXED; SAS Institute) to test the effects of CO<sub>2</sub> enrichment and whether responses to CO<sub>2</sub> enrichment varied among the soil series. We fit the following model (Eq. 4) to J<sub>CO<sub>2</sub></sub>, ANPP, Ψ<sub>soil</sub>, PPFD, and exp(H). J<sub>CO<sub>2</sub></sub> was natural log transformed for analysis to meet assumptions of normality but was graphed in the untransformed scale:

$$y_{ijkl} = \text{intercept} + \text{soil}_i + \text{monolith}_j(\text{soil}_i) + \alpha(\text{CO}_2) + \beta_1(\text{CO}_2 \times \text{soil}_i) + \text{year}_k + \text{year} \times \text{soil}_{ik} + \gamma_k(\text{CO}_2 \times \text{year}_k) + \delta_{ik}(\text{CO}_2 \times \text{soil}_i \times \text{year}_k) + e_{ijkl}. \quad [4]$$

Monolith nested within soil series [monolith<sub>j</sub>(soil<sub>i</sub>)] was fit as a random effect, and year was fit as a repeated effect with an autoregressive covariance structure. Soil effects on the rate of species turnover with change in CO<sub>2</sub> were tested by fitting a reduced model including soil and random effect of year.

For variables where these analyses returned significant CO<sub>2</sub> or CO<sub>2</sub> × soil series effects, we plotted the significant linear relationships with CO<sub>2</sub> for each soil series using OriginPro 9.7. For lnJ<sub>CO<sub>2</sub></sub>, significant CO<sub>2</sub>, or soil × CO<sub>2</sub> interactions using a linear model imply a nonlinear response in untransformed J<sub>CO<sub>2</sub></sub>, so we also fit exponential functions to untransformed J<sub>CO<sub>2</sub></sub> vs. CO<sub>2</sub> on each soil series. The exponential function was retained on the silty clay soil because the Bayesian Information Criteria decreased by at least two, indicating an improved fit compared with a linear function. However, this criterion was not met for exponential functions fit to the sandy loam and clay soils, so for them, the linear regressions were retained.

Informed by the mixed model analyses, we developed a structural equation model to resolve how the CO<sub>2</sub> responses of Ψ<sub>soil</sub>, exp(H), turnover, and ANPP combined to predict the CO<sub>2</sub> response of J<sub>CO<sub>2</sub></sub>. The dataset consisted of the linear slopes of CO<sub>2</sub> responses for each variable calculated for each year. Expressing Ψ<sub>soil</sub>, exp(H), ANPP, and J<sub>CO<sub>2</sub></sub> as functions of CO<sub>2</sub> expresses all variables in the same form as turnover. Direct effects describe how the CO<sub>2</sub> response of one variable affects that of the second variable, and indirect effects describe how an effect may be mediated by the CO<sub>2</sub> response of a third variable. All variables were standardized to mean = 0 and SD = 1.

We devised an a priori path model representing 1) how the CO<sub>2</sub> responses of ANPP,  $\Psi_{\text{soil}}$ , exp(H), and turnover were related to the CO<sub>2</sub> response of J<sub>CO<sub>2</sub></sub> and 2) how the CO<sub>2</sub> response of ANPP may have mediated the effects of the other variables on J<sub>CO<sub>2</sub></sub>. The a priori model was fit separately to each soil using Proc Calis (SAS Institute). The a priori model was modified when suggested by LaGrange statistics for paths to add or Wald statistics for paths to remove. Model fit (Table 3) was evaluated using indices indicating absolute fit ( $\chi^2$ ), parsimony (root mean square error of approximation [RMSEA]), and accounting for sample size (comparative fit index [CFI]) following Hooper et al. (70).

**Data Availability.** The datasets are available from the Dryad Data Repository, <http://doi.org/10.5061/dryad.fbg79cnt0> (71).

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